

<b>Form 1449 (Modified)</b>		Atty. Docket No.:	ORCL5734
<b>Information Disclosure Statement By Applicant</b>		Application No.:	To Be Assigned.
		Filing Date:	To Be Assigned.
		Applicant:	JOHNSON, Richard C.
		Group No.:	To Be Assigned.
(Use Several Sheets if Necessary)		Examiner:	To Be Assigned.

JULY 22 2001  
USPTO  
69728701

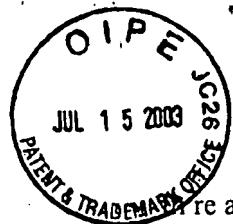
Internet Papers

Exr. Initials	1.	Internet Auctions, USENIX Papers, <i>Internet Auctions</i> , Kumar, Manoj and Feldman, Stuart I., pp.1-13; IBM Research Division, T.J. Watson Research Center, Yorktown Heights, NY 10598, <a href="http://ftp.sage.usenix.org/event/ec98/f...rs/kumar_auctions/kumar_html/kumar.htm">http://ftp.sage.usenix.org/event/ec98/f...rs/kumar_auctions/kumar_html/kumar.htm</a>
<i>BB</i>		

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Balaji Shalin*

*u/a/07*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

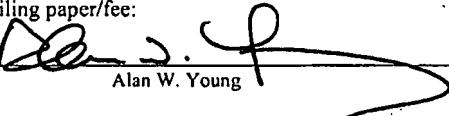
USSN: 09/965,098

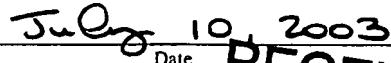
2161g  
PBT  
7-24-03

re application of: JOHNSON, R. Group Art Unit No.: 2161  
Serial Number: 09/965,098 Examiner: *Unknown*  
Filing Date: September 26, 2001 Docket: ORCL5734  
Title: HYBRID AUCTIONS AND METHODS AND SYSTEMS FOR  
CONDUCTING SAME OVER A COMPUTER NETWORK

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on July 10, 2003. Signature of Person mailing paper/fee:

  
Alan W. Young

  
Date

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)  
BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION

Sir:

Applicants wish to call the attention of the Examiner to the following references. A copy of each of these references is enclosed, along with PTO Form 1449.

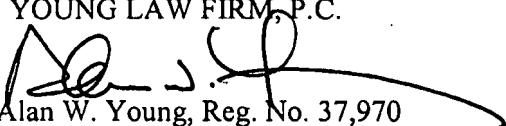
U.S. Patent Documents

No.	Patent No.	Date	Patentee
1.	6,449,601	Sep 10 2002	Friedland, et al.
2.	6,243,691	JUN 5 2001	Fisher, et al.
3.	5,794,219	AUG 11 1998	Brown
4.	3,581,072	MAY 25 1971	Nymeyer

As this Information Disclosure Statement is submitted under 37 CFR 1.97(b), before the mailing date of a first Office Action, no certification or fees are due. If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

YOUNG LAW FIRM, P.C.

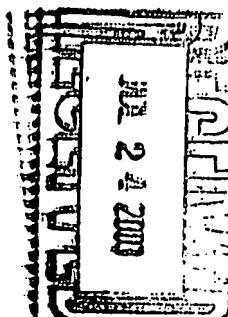
by:   
Alan W. Young, Reg. No. 37,970

4370 Alpine Road, Suite 106  
Portola Valley, CA 94028

Tel: (650) 851-7210

Fax: (650) 851-7232

Date: July 10, 2003





Form 1449 (Modified)

Information Disclosure  
Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No.:	ORCL5734
Application No.:	09/965,098
Filing Date:	September 26, 2001
Applicant:	JOHNSON, Richard C.
Group No.:	2161
Examiner:	Unknown

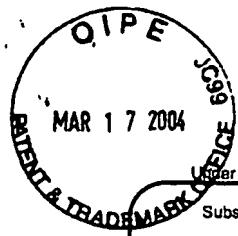
## U.S. PATENT DOCUMENTS

1.	6,449,601	Sep 10 2002	Friedland, et al.
2.	6,243,691	JUN 5 2001	Fisher, et al.
3.	5,794,219	AUG 11 1998	Brown
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*Richard Johnson**11/10/07*

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Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

Substitute for form 1449/PTO		Complete if Known	
<p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>			
Sheet	1	of	2
		Application Number 09/965,098	
		Filing Date September 26, 2001	
		First Named Inventor Richard C. Johnson	
		Art Unit Not Assigned	
		Examiner Name Not Assigned	
		Attorney Docket Number ORCL5734	

U. S. PATENT DOCUMENTS

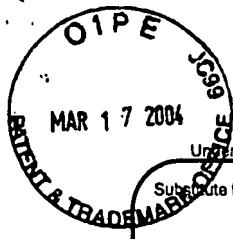
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## FOREIGN PATENT DOCUMENTS

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

<b>Application Number</b>	09/965,098
<b>Filing Date</b>	September 26, 2001
<b>First Named Inventor</b>	Richard C. Johnson
<b>Art Unit</b>	Not Assigned
<b>Examiner Name</b>	Not Assigned
<b>Attorney Docket Number</b>	ORCL5734

## NON-PATENT LITERATURE DOCUMENTS

Examiner  
Signature

Belvoir Rent-It

Date  
Considered

17/9/07

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<sup>1</sup> Applicant's unique clause designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language. Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Use as many effects as possible.

Sheet 1 of 2

**Complete if Known**

Application Number	09/966,098
Filing Date	September 26, 2001
First Named Inventor	Richard C. JOHNSON
Art Unit	2181
Examiner Name	Not Assigned
Attorney Docket Number	ORCL5734

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner  
Signature

Peter Brewster

Date  
Considered

11/9/07

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PTO/SB/068 (08-03)

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2 Attorney Docket Number ORCL5734

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BB	3	International Search Report from corresponding International Application No. PCT/US02/30582, mailed July 7, 2003 (3pgs).	
BB	4	International Preliminary Examination Report from corresponding International Application No. PCT/US02/30562, completed on March 22, 2004 (4pgs).	

Examiner Signature	<i>Victor Bratin</i>	Date Considered	11/9/07
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This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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